FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

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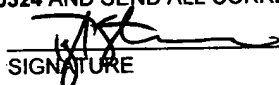
Form PTO-1390 (REV 10-95)	U. S. Department of Commerce Patent and Trademark Office	ATTORNEY'S DOCKET NUMBER CO/21-22746/A/PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **10/526693**
INTERNATIONAL APPLICATION NO. PCT/EP03/10272	INTERNATIONAL FILING DATE SEPTEMBER 16, 2003	PRIORITY DATE CLAIMED SEPTEMBER 23, 2002

TITLE OF INVENTION
POLYMERIC ALKOXYAMINES PREPARED BY ATOM TRANSFER RADICAL ADDITION (ATRA)

APPLICANT(S) FOR DO/EO/US
PETER NESVADBA AND LUCIENNE BUGNON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☑ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☑ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☑ has been transmitted by the International Bureau. **(See attached Form PCT/IB/308)**
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English 35.U.S.C. 371(c)(2)).
7. ☑ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3)).
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☑ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☑ **A FIRST preliminary amendment.**
   ☐ A SECOND or SUBSEQUENT preliminary amendment.

14. ☐ A substitute specification.

15. ☐ A change of power of attorney and/or address letter.

16. ☑ Other items or information: **(See attached Form PCT/ISA/210)**

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17. ☑ The following fees are submitted:			
Basic national fee...	$300.00	$300.00	
Examination fee.	$200.00	$200.00	
Search fee..	$500.00	$500.00	
TOTAL OF ABOVE CALCULATIONS=	$1000.00	$1000.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is $250.00 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50=		x $250.00	$	

Surcharge of $130.00 for furnishing the oath of declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). → $

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	X $50.00	$	
Independent claims	3 -3 =	0	X $200.00	$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $360.00	$	
TOTAL OF ABOVE CALCULATIONS =				$1000.00	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. → $

SUBTOTAL =	$1000.00	

Processing fee of $130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + → $

TOTAL NATIONAL FEE =	$1000.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property + → $

TOTAL FEES ENCLOSED =	$	
Amount to be refunded:	$	
Amount to be charged:	$1000.00	

a. ☐ A check in the amount of $_____ to cover the above fees is enclosed.

b. ☑ Please charge my Deposit Account No. 03-1935 in the amount of $1000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1935. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

PLEASE ASSOCIATE THE ATTACHED APPLICATION WITH CUSTOMER NUMBER 000324 AND SEND ALL CORRESPONDENCE TO:

JoAnn Villamizar
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591-9005

SIGNATURE

Tyler A. Stevenson
NAME

46,388
REGISTRATION NUMBER

41   6/97